

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Traw, et al.

Application No.: 09/966,676

Art Group: 2661

Filed: September 28, 2001

For: METHOD AND APPARATUS TO
PROVIDE A PERSONALIZED
CHANNEL

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FEB 21 2002
Technology Center 2600

Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97
AND
CROSS-REFERENCE UNDER 37 C.F.R. §1.78(a)(2) TO RELATED APPLICATIONS

Sir/Madam:

Applicants, in accordance with their duty of disclosure under 37 CFR §1.56 and in accordance with 37 CFR §1.97 and 37 CFR §1.78(a)(2), hereby disclose and cross-reference to the following related patent applications all of which are assigned or under an obligation to assign to the same assignee as the above referenced patent application. Also enclosed is a copy of Information Disclosure Citation Form PTO/SB/08. Copies of the references cited on PTO/SB/08 are enclosed herewith. It is respectfully requested that the cited references be considered and the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant.

(1) A U.S. Patent Application entitled Signaling Method and Apparatus to Provide Content on Demand in a Broadcast System by Jay Connelly, U.S. Application No. 09/533,048, filed March 22, 2000, attorney docket reference no. 042390.P8387.

(2) A U.S. Patent Application entitled Method and Apparatus to Determine Broadcast Content and Scheduling in a Broadcast System by Jay Connelly, U.S. Application No. 09/532,034, filed March 22, 2000, attorney docket reference no. 042390.P8388.

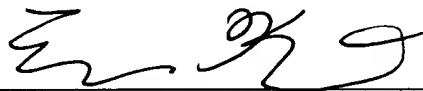
(3) A U.S. Patent Application entitled Method and Apparatus to Provide Client-Side Filtering in a Broadcast System by Jay Connelly, U.S. Application No. 09/533,024, filed March 22, 2000, attorney docket reference no. 042390.P8699.

Pursuant to 37 CFR §1.97, this submission is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

This information disclosure statement is being filed before receiving a first Office Action on the merits of the above referenced U.S. Patent Application. Therefore, no filing fee is necessary. However, if any petition is needed it is hereby petitioned and to the extent any fee is due, please charge any shortage in fees in connection with the filing of this paper to Deposit Account 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP



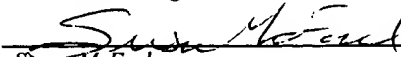
Dated: January 23, 2002

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: January 23, 2002

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Susan McFarlane Date

Approved for use through 10/31/2002 OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known

| | |
|------------------------|--------------|
| Application Number | 09/966,676 |
| Filing Date | September 28 |
| First Named Inventor | Brendan Trav |
| Group Art Unit | 2611 |
| Examiner Name | Unassigned |
| Attorney Docket Number | 42390P11771 |

Sheet 1 of 1

OTHER ART - NON PATENT LITERATURE DOCUMENTS

[illegible]

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|-----------------------|--------------------|
| Examiner Signature | Date Considered |
|-----------------------|--------------------|

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

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